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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/823,563	03/31/2001	Carolyn Ramsey Catan	US010154***	6354
24737	7590 07/27/2006		EXAMINER	
PHILIPS INTELLECTUAL PROPERTY & STANDARDS			SIDDIQI, MOHAMMAD A	
P.O. BOX 3	001			
BRIARCLIFF MANOR, NY 10510			ART UNIT	PAPER NUMBER
			2154	,

DATE MAILED: 07/27/2006

Please find below and/or attached an Office communication concerning this application or proceeding.



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JUL 2 7 2006
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# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application Number: 09/823,563

Filing Date: 03/31/2001

Appellant(s): CAROLYN R. CATAN

Edward W. Goodman (Reg. No. 28,613)

For Appellant

**EXAMINER'S ANSWER** 

This is in response to the appeal brief filed 04/21/2006 appealing from the Office action mailed 08/11/2005.

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#### (1) Real Party in Interest

A statement identifying by name the real party in interest is contained in the brief.

#### (2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

#### (3) Status of Claims

The statement of the status of claims contained in the brief is correct.

#### (4) Status of Amendments After Final

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

## (5) Summary of Claimed Subject Matter

The summary of claimed subject matter contained in the brief is correct.

## (6) Grounds of Rejection to be Reviewed on Appeal

The appellant's statement of the grounds of rejection to be reviewed on appeal is correct.

## (7) Claims Appendix

The copy of the appealed claims contained in the Appendix to the brief is correct.

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### (8) Evidence Relied Upon

6,615,175 Gazdzinski 9-2003

#### (9) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-3, 5-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gazdzinski et al. (6,615,175) (hereinafter Gazdzinski) in view of "Official Notice".
- 3. As per claims 1, 6, and 13, Gazdzinski discloses a system for identifying and selecting at least one data resource in a data store, said system comprising:

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an machine-readable reader system (col 4, lines 5-20) with a user interface for reading MRL data from an MRL (MRL is interpreted as RFID tag and reader system, col 4, lines 5-20; col 7, lines 27-29);

a resource base having resource (internet or intranet, col 10, lines 23-59); and

at least one processor connected to said MRL reader for receiving MRL data from said MRL, and for controlling and receiving data from said user interface (col 3, lines 19-43, col 4, lines 5-20); said

said at least one processor being programmed to generate a query for use in searching said resource base responsively to said MRL data (col 7, lines 27-29, col 3, lines 19-43, col 4, lines 5-20);

said at least one processor being programmed to generate a query to identify at least one resource matching said query and determine a confidence (col 9, lines 50-54) level of said matching (col 9, lines 25-54); and

said at least one processor being programmed such that when said confidence level (col 9, lines 50-55) is lower than a predetermined (col 9, line 10) confidence level (col 9, lines 45-65), said at least one processor receives input from said user interface defining a new resource and stores (col 6, line 25) said new resource in said resource base or another resource base (col 9, lines 45-65; col 21, lines 62-67, appended on the library entry

for the first word), wherein said at least one processor identifies a resource not matching (col 9, lines 50-60) said query by substituting a term in said query that identifies one of an object associated with said reader (col 19, lines 1-15), an object associated with an MRL, or another term and searches responsively to said query for a resource and, upon finding said resource, generates an output responsive thereto (col 3, lines 19-43, col 4, lines 5-20, col 9, lines 45-67, col 10, lines 1-12).

Although Gazdzinski shows substantial features of the claimed invention including reader system, RFID tag, and internet/intranet accessible information base. Gazdzinski does not explicitly teach a machine-readable label (MRL), user interface for reading MRL data from an MRL. "Official Notice" is taken that both the concept and advantages of providing RFID tag/Smart technology based device can provides scanning from greater distances than bar code scanning (support for the official notice can be found in the back ground of the specification of the instant application, page 2). It would have been an obvious modification to the system disclosed by Gazdzinski to use RFID tag system interface and process information from remotely stored resource base.

4. As per claims 2 and 7, Gazdzinski discloses wherein said MRL includes a radio transponder or transmitter (col 5, lines 60-63, col 3, lines 19-43, col 4, lines 5-20).

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5. As per claims 3 and 8, Gazdzinski discloses said at least one processor is programmed such that when said confidence level is higher than said predetermined confidence level, said at least one processor receives input from said user interface indicating a desirability of said at least one resource to said user and to update a preference data store responsively to said input (col 9, lines 45-65).

- 6. As per claims 5 and 10, Gazdzinski discloses wherein said term is a term characterizing said object associated with said reader (fig 15, col 20, lines 9 –42).
- 7. As per claim 9, Gazdzinski discloses wherein said reader is programmed such that when said confidence level is lower than said predetermined confidence level, said at least one processor receives input from said user

interface defining a new resource and stores said new resource in said resource base or another resource base (col 9, lines 22-65).

8. As per claim 11, Gazdzinski discloses wherein said MRL reader is programmed such that when said confidence level is lower than said predetermined confidence level, said at least one processor identifies a generic resource responsive to said object associated with an reader system (fig 15, col 9, lines 22-65).

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9. As per claim 12, Gazdzinski discloses, wherein said MRL reader is programmed such that when said confidence level is lower than said predetermined confidence level, said at least one processor receives input from said user interface defining a new resource and stores said new resource in said resource base or another resource base (fig 15, col 9, lines 22-65).

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- 10. As per claim 14, Gazdzinski discloses, wherein said message suggests to a user that the user use a different one of an object associated with a reader and an object associated with an reader system (fig 15, col 20, lines 9-42).
- 11. As per claim 15, Gazdzinski discloses, further comprising the steps of, when said further confidence level is lower than said predetermined level or another predetermined level, identifying a generic response using a third query with fewer terms than said first query or second queries (fig 15, col 9, lines 22-65).
- 12. As per claim 16, Gazdzinski discloses A method of identifying a resource from a machine-readable reader, comprising the steps of:

a machine-readable reader attaching resources from a resource base based on a result of said step of scanning (fig 15, col 9, lines 22-65);

when a result of said step of input indicates a poor match, outputting to a user-interface (col 9, lines 46-65, col 3, lines 19-43, col 4, lines 5-20), a

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message suggesting to a user to use a different one of said first and second objects (col 9, lines 46-67, col 10, lines 1-12).

Although Gazdzinski shows substantial features of the claimed invention including reader system, RFID tag, and internet/intranet accessible information base. Gazdzinski does not explicitly teach a machine-readable label (MRL). "Official Notice" is taken that both the concept and advantages of providing RFID tag/Smart technology based device can provides scanning from greater distances than bar code scanning. It would have been an obvious modification to the system disclosed by Gazdzinski to use RFID tag system interface and process information from remotely stored resource base.

13. As per claim 17, Gazdzinski discloses a method of identifying a resource from a machine-readable reader, comprising the steps of:

a machine-readable reader associated with a first object with a reader (col 4, lines 5-20) associated with a second object (fig 15, col 20, lines 9 – 42);

matching resources from a resource base based on identifiers corresponding to said first and second objects resulting from said step of scanning (fig 15, col 9, lines 22-65);

when a result of said step of input indicates a poor match, outputting to a user-interface (col 9, lines 46-65, col 3, lines 19-43, col 4, lines 5-20),

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identifying a resource matching resources from a resource base based on identifiers corresponding to only one of said first and second objects resulting from said step of scanning (col 9, lines 46-67, col 10, lines 1-12).

Although Gazdzinski shows substantial features of the claimed invention including reader system, RFID tag, and internet/intranet accessible information base. Gazdzinski does not explicitly teach a machine-readable label (MRL). "Official Notice" is taken that both the concept and advantages of providing RFID tag/Smart technology based device can provides scanning from greater distances than bar code scanning. It would have been an obvious modification to the system disclosed by Gazdzinski to use RFID tag system interface and process information from remotely stored resource base.

## (10) Response to Argument

In general, Appellant's arguments reflect a difference of opinion over the teachings of the prior art and how these teachings would be evaluated in light of the knowledge generally available to those in the appropriate art and the level of ordinary skill in the art. Moreover, Appellant's take an overly narrow view of the claim language.

In addition, the Appellant's failure to challenge the Examiner's Official Notice has resulted in the following to be acknowledged as admitted prior art:

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A barcode reader (or barcode scanner) is a computer peripheral for reading barcodes printed on various surfaces and sending the barcode's content to the barcode/scanner's output port connected to processor. The concept and advantages of providing RFID tag/Smart technology based device can provides scanning from greater distances than barcode reader (support for the official notice can be found in the back ground of the specification of the instant application, page 2). Any processor capable of reading input data from the RFID tag system interface can process information from remote resource base (example is Cue Cat.RTM as it is explained on pages 1-4 of the instant application).

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On pages 3-4 of the instant application, "one example of a bar-code reader product aimed at consumers is the Cue Cat.RTM., a reader designed to be installed on a computer and used to read bar-codes printed in catalogues, magazine advertisements, and product labels. When a user scans a bar-code, the code is automatically conveyed through the Internet to a server that points the user's browser to a web site for that particular bar-code. The user is saved the trouble of typing in a web address, which could conceivably be a long one if every product had its own web address, but the benefit is not much greater than that. Also, web addresses can be generated for existing products (like a year-old can of peaches in the cupboard) without the user having to look one up (such as by searching

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with a search engine). If the maintainer of the Cue Cat.RTM. service fails to provide a link for a product, users can suggest a web address. Another similar proposed application is bar-codes on coupons that take the user to a 'bonus coupon' section on a web site. ",Appellant accepts the searching with a remote search engine using Cue Cat.rtm.

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**Appellant's Argument:** Gazdzinski in view of "Official Notice" neither discloses nor suggests "generate a query for use in searching said resource base responsive to said MRL" (page 11 of the Brief).

**Examiner's Response:** Gazdzinski discloses at least one processor (1504, fig 15, reader processor sends a data to the processor 106, fig 15, to compare with known list of known authorized entrants ) being programmed to generate a query (1500, fig 15, query is interpreted as an object that requests information from a database and creates a dataset of the requested information) for use in searching said resource base (1510, fig 15) responsively to said MRL data (RFID reader receives signal from RFID tag, then compared by the processor 106, fig 15, to a list of known authorized user residing in the database 1510, fig 15, col 4, lines 17-20, col 19, lines 4-21, comparing with the list of known authorized entrants clearly anticipates query generated by the processor and searching is done in the access database, further the process of querying and searching is described in fig 16).

**Appellant's Argument**: There is no disclosure or suggestion in Gazdzinski that resource should be matched from a resource base based on a result of the scanning of the MRL.

**Examiner's Response:** Gazdzinski discloses that resource should be matched (matching entries found, fig 16, col 19, lines 16-17) from a resource base based on a result of the scanning of the MRL (fig 15 and 16, col 4, lines 17-20; col 19, lines 4-21, comparing with the list of known authorized entrants clearly anticipates query generated by the processor and matching entries were found).

**Appellant's Argument**: There is no disclosure and suggestion in Gazdzinski that in the event of "poor match" a message should given to the user a different first object and a different second object (page 12 of the Brief).

**Examiner's Response**: Gazdzinski discloses that in the event of "poor match" a message should given to the user a different first object (sub system allows user to append the query, col 9, lines 34-37) and a different second object (col 9, lines 41-54, if no matches found system will display the nearest approximation of the query based on confidence level).

**Appellant's Argument:** Gazdzinski neither discloses or suggests matching resources from a resource base based on a result (i.e., identifiers corresponding to said first and second objects) of the scanning of the MRL there cannot be any

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disclosure in Gazdzinski of "identifying a resource matching resources from a resource base based on identifiers corresponding to only one of said first and second objects resulting from said step of scanning" (on page 13 of the Brief).

Examiner's Response: Gazdzinski discloses suggests matching resources from a resource base based on a result (matching entries found, fig 16, col 19, lines 16-17). He further discloses identifying a resource matching resources from a resource base based on identifiers corresponding to only one of said first and second objects resulting from said step of scanning object (col 9, lines 41-54, if no matches found system will display the nearest approximation of the query based on confidence level).

#### (11) Related Proceeding(s) Appendix

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

For the above reasons, it is believed that the rejections should be sustained.

Mohammad Siddlegt 07/274/2006 07/19/2006

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